

March 31, 2025

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| The Secretary Listing Department, BSE Limited, 1 st Floor, Phiroze Jeejeebhoy Towers Dalal Street, Mumbai 400001 Scrip Code: 540975 | The Manager, Listing Department, The National Stock Exchange of India Ltd Exchange Plaza, C-1, Block G Bandra Kurla Complex Bandra (East), Mumbai 400051 Scrip Symbol: ASTERDM |
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Dear Sir/ Madam,

Sub: Report on violation of Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 ("PIT Regulations")

Ref: SEBI Gazette Notification No. SEBI/LAD-NRO/GN/2020/23 dated July 17, 2020 read with SEBI Circular No. SEBI/HO/ISD/CIR/P/2020/135 dated July 23, 2020

Aster DM Healthcare Limited (the "**Company**") is committed to conducting its business in compliance with the applicable regulatory framework and with robust internal governance standards. As part of its regulatory obligations, the Company had formulated and implemented "The Code for Prevention of Insider Trading in the Securities of Aster DM" ("**Insider Trading Code**") in accordance with Regulation 9(1) of the PIT Regulations. Through this Insider Trading Code, the Company has adopted standards set out in Schedule B of the PIT Regulations to regulate, monitor and report trading by its designated persons and their immediate relatives.

It has been recently brought to our attention, that Mr. P Divakar Reddy, Designated Person ("DP") of the Company (under the Insider Trading Code) has executed a contra trade involving the purchase and sale of 30 shares of the Company within a period of six months on March 10, 2025.

In this regard, pursuant to our correspondence, the DP has confirmed that his family member had inadvertently carried out the abovementioned trades.

As an organization mindful of its regulatory obligations in the securities market, the Company has zero tolerance for any violation of its internal policies. Accordingly, upon this incident being brought to its notice, the Company, as an immediate step, reported the incident to the Audit Committee on March 27, 2025 and the Audit Committee considered that a contra trade has been executed by the DP inadvertently. Consequently, as directed by the Audit Committee, appropriate internal action has been initiated against the DP wherein a warning letter has been issued and an amount of INR 405 (Rupees Four Hundred and Five only) representing notional gain between the purchase and sale price, was remitted to the Investor Protection and Education Fund, in line with the PIT Regulations.

In light of the above, please find enclosed a report on the abovementioned violation of the Company's Insider Trading Code under PIT Regulations, in the manner prescribed under the abovementioned SEBI circular.

We would like to reiterate that Company is committed to ensuring continued adherence to regulatory obligations under the PIT Regulations and Insider Trading Code and applicable laws to avoid such recurrence of such instances going forward.

We request you to kindly take the above information on record.

Thank you,

For Aster DM Healthcare Limited

Hemish Purushottam

Company Secretary and Compliance Officer

Encl: As above

**Report by Aster DM Healthcare Limited for violations related to Code of Conduct under SEBI
(Prohibition of Insider Trading) Regulations, 2015.**

Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

| Sr.No | Particulars | Details | | | | | | | | | |
|------------------|--|---|------------------|------------------------|-------|----------------|----|------------|--------------|-------------------|-------|
| 1 | Name of the listed company | Aster DM Healthcare Limited | | | | | | | | | |
| 2 | Please tick appropriate checkbox Reporting in capacity of: <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary | Listed Company | | | | | | | | | |
| 3 | A. Details of Designated Person (DP) | | | | | | | | | | |
| | i. Name of the DP | Mr. P Divakar Reddy | | | | | | | | | |
| | ii. PAN of the DP | BHOPR8900E | | | | | | | | | |
| | iii. Designation of DP | Senior Executive (Finance & Accounts) Aster Whitefield Hospital | | | | | | | | | |
| | iv. Functional Role of DP | Senior Executive (Finance & Accounts) Aster Whitefield Hospital | | | | | | | | | |
| | v. Whether DP is Promoter or belongs to Promoter Group | No | | | | | | | | | |
| | B. If Reporting is for immediate relative of DP | | | | | | | | | | |
| | i. Name of the immediate relative of DP | Not Applicable | | | | | | | | | |
| | ii. PAN of the immediate relative of DP | Not Applicable | | | | | | | | | |
| | C. Details of transaction(s) | | | | | | | | | | |
| | i. Name of the scrip | BSE: 540975 NSE: ASTERDM | | | | | | | | | |
| | ii. No of shares traded and value (Rs.) (Date- wise) | <table border="1"> <thead> <tr> <th>Date of purchase</th><th>No of shares Purchased</th><th>Value</th></tr> </thead> <tbody> <tr> <td>March 06, 2025</td><td>30</td><td>INR 12,420</td></tr> </tbody> </table> <table border="1"> <thead> <tr> <th>Date of Sale</th><th>No of Shares sold</th><th>Value</th></tr> </thead> <tbody> </tbody> </table> | Date of purchase | No of shares Purchased | Value | March 06, 2025 | 30 | INR 12,420 | Date of Sale | No of Shares sold | Value |
| Date of purchase | No of shares Purchased | Value | | | | | | | | | |
| March 06, 2025 | 30 | INR 12,420 | | | | | | | | | |
| Date of Sale | No of Shares sold | Value | | | | | | | | | |

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| | | March 10, 2025 | 30 | INR 12,825 |
| | D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter | | | |
| | i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015 | Not Applicable | | |
| | ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015 | Not Applicable | | |
| 4 | Details of violations observed under Code of Conduct | The Designated Person ("DP") of the Company had undertaken a Contra trade by purchasing of 30 shares of the Company at a value of Rs. 12,420 /- on March 06, 2025 and selling of 30 shares of the Company at a value of Rs. 12,825 /- on March 10, 2025. | | |
| 5 | Action taken by Listed company/ Intermediary/ Fiduciary | In light of the violation of the Insider Trading Code as set out above, the Company has reported this incident to the Audit Committee on March 27, 2025. Pursuant to the directions received from the Audit Committee, the Company has initiated disciplinary action against the DP and issued warning letter and an amount of INR 405 (Rupees Four Hundred and Five Only) representing notional gain between the purchase and sale price was remitted to the Investor Protection and Education Fund, in line with the PIT Regulations. | | |
| 6 | Reasons recorded in writing for taking action stated above | <p>The Audit Committee has decided on the disciplinary action taking the following into consideration:</p> <p>The DP is a Senior executive (Finance & Accounts) -Aster Whitefield Hospital- and has confirmed that the trade was carried out inadvertently on March 10, 2025, by his family member. The DP has violated the Insider Trading Code.</p> | | |

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| 7 | Details of the previous instances of violations, if any, since last financial year | None |
| 8 | If any amount collected for Code of Conduct violation(s)- | The Company has issued a warning letter to the DP and has also informed him to promptly deposit an amount of INR 405 (Rupees Four and Five Only) representing notional gain between the purchase price and sale price to the SEBI - IPEF |
| | i. Mode of transfer to SEBI - IPEF (Online/ Demand Draft) ii. Details of transfer/payment In case of Online: | |
| | Particulars | Details |
| | Name of the transferor | Mr. Putta Divakar Reddy |
| | Bank Name, branch and Account number | Bank Name: Axis Bank Branch: Kolkata, West Bengal Account No: 915010050257098 |
| | UTR/Transaction reference Number | AXOMB09098483851 |
| | Transaction date | March 31, 2025 |
| | Transaction Amount (in Rs.) | INR 405 |
| 9 | Any other relevant information | Nil |

Yours Faithfully,

Mr. Hemish Purushottam
 Company Secretary and Compliance Officer
 PAN: AWZPP2820L
 Email: hemish.purushottam@asterdmhealthcare.in

Date: 31-03-2025
 Place: Bengaluru